

<p style="text-align: center;"><b>INFORMATION DISCLOSURE CITATION</b>  <i>(Use several sheets if necessary)</i></p> <p style="text-align: right;">FEB 02 2004 JC-A PATENT TRADEMARK OFFICE</p>				Docket Number (Optional) <b>7166</b>	Application Number <b>10/636,010</b>		
				Applicant(s) <b>Duong L. Ta &amp; Ty J. Oliver</b>			
				Filing Date <b>August 7, 2003</b>	Group Art Unit		
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	2,527,256	10/24/1950	Jackson	287	119	11/07/1947	
	5,288,161	02/22/1994	Graves et al.	403	324	02/14/1992	
	US 2002/0020041 A1	02/21/2002	Newman et al.	16	427	10/23/2001	
<b>FOREIGN PATENT DOCUMENTS</b>							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
		International Search Report for PCT/US03/24761, 3 pages					
EXAMINER				DATE CONSIDERED			
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

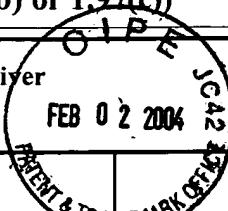
2w 3 - 04

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>				Docket No. 7166
Applicant(s): Duong L. Ta & Ty J. Oliver				
Serial No. 10/636,010	Filing Date August 7, 2003	O I P E FEB 02 2004 P E N T & T R A D E M A R K O F U S SC42	Examiner	Group Art Unit
Invention: <b>TOOL EXTENSION ASSEMBLY WITH QUICK RELEASE LOCK MECHANISM</b>				
<p>I hereby certify that the following correspondence:</p> <p><b>Transmittal of Information Disclosure Statement (Under 37 CFR 1.97(b) or (c)) (in duplicate), along with the Information Disclosure Statement, Information Disclosure Citation (Form PTO-1449) and references</b></p> <p>(Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>February 2, 2004</u></p> <p>(Date)</p> <p><u>Laurie M. Feltes</u> (Typed or Printed Name of Person Mailing Correspondence)</p> <p><u>Laurie M. Feltes</u> (Signature of Person Mailing Correspondence)</p> <p><b>EV 092004195 US</b> (Express Mail Mailing Label Number)</p> <p>Note: Each paper must have its own certificate of mailing.</p>				

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
7166

In Re Application Of: **Duong L. Ta & Ty J. Oliver**



Serial No.  
10/636,010

Filing Date  
August 7, 2003

Examiner

Group Art Unit

Title:

**TOOL EXTENSION ASSEMBLY WITH QUICK RELEASE LOCK MECHANISM**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

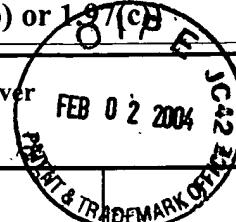
**OR**

the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
7166

In Re Application: Duong L. Ta & Ty J. Oliver



Serial No.  
10/636,010

Filing Date  
August 7, 2003

Examiner

Group Art Unit

**TOOL EXTENSION ASSEMBLY WITH QUICK RELEASE LOCK MECHANISM**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No.19-2025 as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of \_\_\_\_\_

Credit any overpayment.

Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_

(Date)

Signature

*Arthi K. Tirey*  
Signature  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

*Arthi K. Tirey*  
Signature  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Arthi K. Tirey, Esq.  
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101 Prospect Avenue, N.W.  
Cleveland, Ohio 44115

Reg. No. 50,960  
Phone: (216) 566-3650

CC:

Dated: February 2, 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent application  
of \_\_\_\_\_ Inventor(s)  
for \_\_\_\_\_ Title of invention  
\_\_\_\_\_

the specification of which is being transmitted herewith

**OR**

In re application of: Duong L. Ta & Ty J. Oliver  
Application No.: 010 / 636,010 Group No.:  
Filed: August 7, 2003 Examiner:  
For: TOOL EXTENSION ASSEMBLY WITH QUICK  
RELEASE LOCK MECHANISM

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**


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**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***  
*(When using Express Mail, the Express Mail label number is mandatory;  
 Express Mail certification is optional.)*

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231  
**37 C.F.R. § 1.8(a)**

with sufficient postage as first class mail.  as "Express Mail Post Office to Addressee"  
**37 C.F.R. § 1.10\***  
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**TRANSMISSION**

transmitted by facsimile to the Patent and Trademark Office.

Laurie M. Feltes  
 Signature

Date: 2/2/2004

Laurie M. Feltes

(type or print name of person certifying)

\*WARNING: Each paper or fee filed by Express Mail must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Information Disclosure Statement [6-1]—page 1 of 4)

**NOTE:** "An information disclosure statement shall be considered by the Office if filed by the applicant:

- (1) Within three months of the filing date of a national application;
- (2) Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; or
- (3) Before the mailing date of a first Office action on the merits, whichever event occurs last."

37 C.F.R. § 1.97(b).

**NOTE:** "Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section." 37 C.F.R. § 1.56(a).

"Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) each inventor named in the application;
- (2) each attorney or agent who prepares or prosecutes the application; and
- (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.56(c).

**NOTE:** The "duty as described in § 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1135 O.G. 13 -25 at 17.

**WARNING:** "No information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(b).

### **List of Sections Forming Part of This Information Disclosure Statement**

The following sections are being submitted for this Information Disclosure Statement:

*(check sections forming a part of this statement: discard unused sections and number pages consecutively)*

1.  Preliminary Statements
2.  FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)
3.  Statement as to Information Not Found in Patents or Publications
4.  Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
5.  Cumulative Patents or Publications
6.  Copies of Listed Information Items Accompanying This Statement
7.  Concise Explanation of Non-English Language Listed Information Items
  - 7A.  EPO Search Report
  - 7B.  English Language Version of EPO Search Report
8.  Translation(s) of Non-English Language Documents
9.  Concise Explanation of English Language Listed Information Items (Optional)
10.  Identification of Person(s) Making This Information Disclosure Statement

*(complete the following, if appropriate)*

Sections \_\_\_\_\_, respectively, have been continued on ADDED PAGE(S).

**NOTE:** "Once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).

## **Section 1. Preliminary statements**

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

**Section 10. Identification of Person(s) Making This Information Disclosure Statement**

The person making this statement is

(check each applicable item)

(a)  the inventor(s) who signs below

SIGNATURE OF INVENTOR

(type name of inventor who is signing)

(b)  an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c))

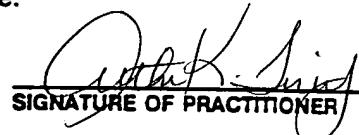
SIGNATURE OF INVENTOR

(type name of inventor who is signing)

(c)  the practitioner who signs below on the basis of the information:

(check each applicable item)

supplied by the inventor(s).  
 supplied by an individual associated with the filing and prosecution of this application. (37 C.F.R. § 1.56(c))  
 in the practitioner's file.

  
Arthi K. Tirey

(type or print name of practitioner)

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